

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	130588.00045
First Inventor	Jiping He
Title	Electrode For Implant In Live Tissue With . . .
Express Mail Label No.	EL645096977US

10/623821
21907 US PRO
07/21/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement
(when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Specification Cover Sheet
 Certificate of Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

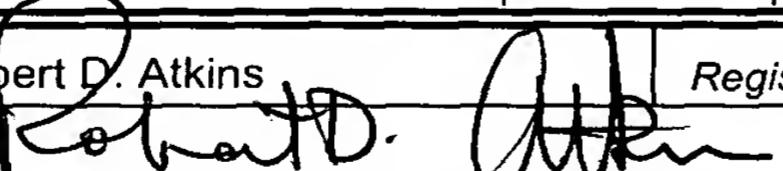
Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

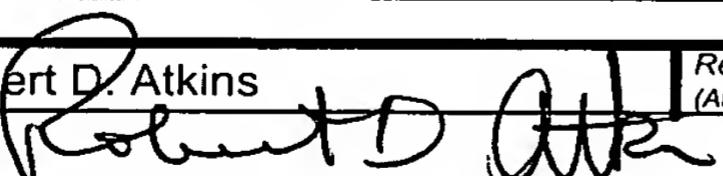
<input checked="" type="checkbox"/> Customer Number or Bar Code Label	26707 (Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Robert D. Atkins	Registration No. (Attorney/Agent)	34,288
Signature			
Date	7/21/03		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	
		Filing Date	Filed concurrently herewith
		First Named Inventor	Jiping He
		Examiner Name	
		Group Art Unit	
		Attorney Docket No.	130588.00045
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT		(\$) 471.00	

METHOD OF PAYMENT (check all that apply)		FEES CALCULATION (continued)																																																																																																																																	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 17-0055 Deposit Account Name Quarles & Brady LLP		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Fee Code (\$)</td><td>Fee Code (\$)</td><td>Fee Code (\$)</td><td>Fee (\$)</td></tr> <tr><td>1051 130</td><td>2050</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>1052 50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>1053 130</td><td>1053</td><td>130</td><td>Non-English specification</td></tr> <tr><td>1812 2,520</td><td>1812</td><td>2,520</td><td>For filing a request for ex parte reexamination</td></tr> <tr><td>1804 920</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>1805 1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>1251 110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>1252 410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td></tr> <tr><td>1253 930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td></tr> <tr><td>1254 1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td></tr> <tr><td>1255 1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td></tr> <tr><td>1401 320</td><td>2401</td><td>160</td><td>Notice of Appeal</td></tr> <tr><td>1402 320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>1403 280</td><td>2403</td><td>140</td><td>Request for oral hearing</td></tr> <tr><td>1451 1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>1452 110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td></tr> <tr><td>1453 1,300</td><td>2453</td><td>650</td><td>Petition to revive - unintentional</td></tr> <tr><td>1501 1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>1502 470</td><td>2502</td><td>235</td><td>Design issue fee</td></tr> <tr><td>1503 630</td><td>2503</td><td>315</td><td>Plant issue fee</td></tr> <tr><td>1460 130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>1807 50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>1806 180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>8021 40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>1809 750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>1810 750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>1801 750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>1802 900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="2">**or number previously paid, if greater; For Reissues, see above</td> <td colspan="2">*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00</td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee (\$)	1051 130	2050	65	Surcharge - late filing fee or oath	1052 50	2052	25	Surcharge - late provisional filing fee or cover sheet	1053 130	1053	130	Non-English specification	1812 2,520	1812	2,520	For filing a request for ex parte reexamination	1804 920	1804	920*	Requesting publication of SIR prior to Examiner action	1805 1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	1251 110	2251	55	Extension for reply within first month	1252 410	2252	205	Extension for reply within second month	1253 930	2253	465	Extension for reply within third month	1254 1,450	2254	725	Extension for reply within fourth month	1255 1,970	2255	985	Extension for reply within fifth month	1401 320	2401	160	Notice of Appeal	1402 320	2402	160	Filing a brief in support of an appeal	1403 280	2403	140	Request for oral hearing	1451 1,510	1451	1,510	Petition to institute a public use proceeding	1452 110	2452	55	Petition to revive - unavoidable	1453 1,300	2453	650	Petition to revive - unintentional	1501 1,300	2501	650	Utility issue fee (or reissue)	1502 470	2502	235	Design issue fee	1503 630	2503	315	Plant issue fee	1460 130	1460	130	Petitions to the Commissioner	1807 50	1807	50	Processing fee under 37 CFR 1.17(q)	1806 180	1806	180	Submission of Information Disclosure Stmt	8021 40	8021	40	Recording each patent assignment per property (times number of properties)	1809 750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	1810 750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	1801 750	2801	375	Request for Continued Examination (RCE)	1802 900	1802	900	Request for expedited examination of a design application	Other fee (specify) _____				**or number previously paid, if greater; 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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Robert D. Atkins	Registration No. (Attorney/Agent)	34,288	Telephone (602) 229-5311
Signature			Date	7/21/03

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EXPRESS MAIL CERTIFICATE: EL645096977US

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Date of Signature
and Deposit: 7-21-03

By: Teri Weissert
(Signature of person depositing mail)

Teri Weissert

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10

In re Application of: :
Arizona Board of Regents :
Serial No.: To be assigned :
Filed: Filed Concurrently Herewith :
For: Electrode For Implant In Live Tissue With :
Flexible Region To Accommodate :
Micromovement :
Docket No.: 130588.00045 :
:

Group Art Unit:
Examiner:

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Commissioner for Patents
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Alexandria, VA 22313-1450

Type of Filing:

- 1) Utility Transmittal
- 2) Fee Transmittal
- 3) Patent Application (20 pages plus cover sheet)
- 4) Drawings (8 sheets)
- 5) Return receipt postcard